PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's or agent's file reference MAF-10052/22	**FOR FURTHER ACTION** See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US00/13124	International filing date *(day/month/year)* 12 MAY 2000	Priority date *(day/month/year)* 14 MAY 1999

International Patent Classification (IPC) or national classification and IPC IPC(7): G06F 17/00 and US Cl.: 705/74

Applicant FRENKEL, MARVIN A.

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of ____4____ sheets.

☑ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of ____4____ sheets.

3. This report contains indications relating to the following items:

I   [X]   Basis of the report

II   [ ]   Priority

III   [ ]   Non-establishment of report with regard to novelty, inventive step or industrial applicability

IV   [ ]   Lack of unity of invention

V   [X]   Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

VI   [ ]   Certain documents cited

VII   [ ]   Certain defects in the international application

VIII   [ ]   Certain observations on the international application

Date of submission of the demand 08 DECEMBER 2000	Date of completion of this report 26 SEPTEMBER 2001

Name and mailing address of the IPEA/US
    Commissioner of Patents and Trademarks
    Box PCT
    Washington, D.C. 20231
Facsimile No.   (703) 305-3230
	Authorized officer
	
	M. Kemper *James R. Matthews*
	
	Telephone No. (703) 305-9589

Form PCT/IPEA/409 (cover sheet) (July 1998)*

I. Basis of the report

1. With regard to the elements of the international application:*

☐ the international application as originally filed

☒ the description:

pages _____(See Attached)_____ , as originally filed

pages _____ , filed with the demand

pages _____ , filed with the letter of _____

☒ the claims:

pages _____(See Attached)_____ , as originally filed

pages _____ , as amended (together with any statement) under Article 19

pages _____ , filed with the demand

pages _____ , filed with the letter of _____

☒ the drawings:

pages _____(See Attached)_____ , as originally filed

pages _____ , filed with the demand

pages _____ , filed with the letter of _____

☒ the sequence listing part of the description: (See Attached) _____ , as originally filed

pages _____ , filed with the demand

pages _____ , filed with the letter of _____

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.
These elements were available or furnished to this Authority in the following language _____ which is:

☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).

☐ the language of publication of the international application (under Rule 48.3(b)).

☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international

☐ contained in the international application in printed form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☒ The amendments have resulted in the cancellation of:

☒ the description, pages____NONE____

☒ the claims, Nos. ____NONE____

☒ the drawings, sheets-fig ____NONE____

5. ☐ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**

* *Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).*

Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

Form PCT/IPEA/409 (Box I) (July 1998)*

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. statement

Novelty (N)	Claims	2-9	YES
	Claims	1	NO
Inventive Step (IS)	Claims	2-9	YES
	Claims	1	NO
Industrial Applicability (IA)	Claims	1-9	YES
	Claims	NONE	NO

2. citations and explanations (Rule 70.7)

Claim 1 lacks novelty under PCT Article 33(2) as being anticipated by Yacobi, patent number 5,878,138.

Yacobi teaches a method for a customer to anonymously purchase goods comprising: a customer depositing cash with a depository, the depository being independent of the customer such that the identity of the customer remains anonymous, the depository issuing to the customer a serial number corresponding to the amount (col. 13, lines 65-67); submitting the serial number to the on-line merchant, the merchant and the depository being unable to identify the customer (col. 12, lines 50-60, col. 13, lines 14-26).

-------------------- NEW CITATIONS --------------------
US 5,878,138 A (YACOBI) 02 March 1999, see col. 12, 50-60, col. 13, line 65 - col. 14, line 6

Supplemental Box
(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

I. BASIS OF REPORT:

This report has been drawn on the basis of the description,
page(s) 1-8, as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the claims,
page(s) NONE, as originally filed.
page(s) NONE, as amended under Article 19.
page(s) NONE, filed with the demand.
and additional amendments:
Pages 9-9A and 10-11, filed with the letter of 20 June 2001.

This report has been drawn on the basis of the drawings,
page(s) 1 , as originally filed.
page(s) NONE, filed with the demand.
and additional amendments:
NONE

This report has been drawn on the basis of the sequence listing part of the description:
page(s) NONE, as originally filed.
pages(s) NONE, filed with the demand.
and additional amendments:
NONE